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# Raising, phase unlocked

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## 1. Introduction

#### Cross-linguistic variation of raising-to-subject constructions

- English: bans subjects raising from finite clauses/ CPs
- (1) a. The rain<sub>i</sub> seems  $t_i$  not to stop. b. \*The rain<sub>i</sub> seems that  $t_i$  will not stop.
- Cantonese & Vietnamese:
- a. Coeng-jyu<sub>i</sub> (i) gamgok/tengman (ii) \*gamgok-dou/\*zidou [C] waa t<sub>i</sub> m wui ting (i) feel.like/hear NEG will stop CL-rain (ii) feel-RESULT/know (i) cảm giác/nghe nói (ii) \*cảm-thấy/\*biết rằng t<sub>i</sub> sẽ không dừng [V] b. Con-mua này<sub>i</sub> (i) feel.like/hear will NEG stop CL-rain this (ii) feel-RESULT/know (Lit.) 'The rain, (I) feel like/hear/\*feel/\*know, will not stop.'

#### Research Questions:

- Is the apparent raising pattern a genuine one (i.e. hyper-raising, HR)? (§2)
- What differentiates the two classes of attitude verbs? (§3)
- Why is hyper-raising possible and how is it derived syntactically? (§4)

# (i) Raising Attitude Verbs (RAVs)

gamgok [C], cảm giác [V] 'feel like' tengman [C], nghe nói [V] 'hear' soengseon [C], tin là [V] 'believe' waaiji [C], nghi là [V] 'suspect' gugai [C], đoán là [V] 'guess' gong-gan [C] 'talk-PROG' waa-zo [C] 'say-PERF'

(ii) Non-raising Attitude Verbs (NRAVs) zidou [C], biết [V] 'know', geidak [C], nhớ [V] 'remember', jingwai/gokdak [C],

nghĩ/cho [V] 'think' gamgok-dou [C],  $c\dot{a}m$ -th $\tilde{a}y$  [V] 'feel-RESULT', teng-dou [C], nghe-được [V] 'hear-RESULT' gu-dou [C], đoán-được [V] 'guess-RESULT'

## 3. An evidential component

#### Evidentiality in attitude reports

- Compatibility with direct evidence: \*RAVs vs. OKNRAVs
- (9) Scenario: It is 10°C. Aaming went out without wearing a coat. Shivering, he said:
  - a. ngo gamgok-dou/gokdak/zidou ceotmin hou dung

1SG feel-result think know outside very cold 'I feel/ think/ know it is cold outside.'

- b. #ceotmin gamgok/tengman hou dung
- outside feel.like/hear very cold 'The outside, (I) feel like/ hear, is cold.'
- (i) RAVs: specified for indirect evidence
  - e.g. inferential gamgok; hearsay tengman (cf. hearsay evidential marker wo5, Tang 2015)
- (ii) NRAVs: not a unified class e.g. gamgok-dou with direct evidence; unspecified gokdak; factive zidou

#### RAVs are similar to epistemic modals

Epistemic *must* involves indirect inference/ deduction instead of direct observation (von Fintel & Gillies 2010) → also similar to evidence markers of indirect evidence

## 2. Hyper-raising

#### Embedded clauses as CP

- Complementizers (waa for Cantonese, là/rằng for Vietnamese)
- Topic constructions
- (3) a. ngo gamgok [CP] gam-do-ceot-hei [TP] Aaming zinghai tai-zo ni-ceot-hei]] I **feel.like** so-many-CL-film Ming only watch-PERF this-CL-film 'I feel like, among so many films, Ming only watched this one.'
  - b. Aaming gamgok [CP gam-do-ceot-hei [TP zinghai tai-zo ni-ceot-hei]] Ming **feel.like** so-many-CL-film only watch-PERF this-CL-film 'Ming, (I) feel, among so many films, only watched this one.'  $[_{\text{TP}} \text{Subj} [V]_{\text{CP}} \dots [_{\text{TP}} t \dots$

#### A-movement, instead of A'-movement

- Landing site: subject (A-position), not topic (A'-position)
- (6) a. [houdo jan (\*ne,) wui lai] (subject/\*topic) many person TOP will come 'Many people will come.' (\*ne,) **gamgok** [t<sub>i</sub> wui lai]] (subject/\*topic) b. [houdo jan<sub>i</sub> many person TOP feel.like will come 'Many people, (I) feel like, will come.'
- Subject-object asymmetry (vs. object-topicalization is possible)
- (7) a. go-toifung<sub>subj</sub> gamgok [ $_{CP}$   $t_{subj}$  ceoilam-zo houdo syu] (subject) CL-hurricane **feel.like** blow.down-PFV many tree '(I) feel like that the hurricane blew down many trees.'
  - b. \*houdo syu<sub>obj</sub> **gamgok** [CP go-toifung *ceoilam-zo*  $t_{obj}$  ] (\*object) many tree **feel.like** CL-hurricane blow.down-PFV 'Many trees, (I) feel like, the hurricane blew down.'

#### Movement, not base generation

• Idioms preservation

Hyper-raising

- (4) ni-zek laaihaamou<sub>i</sub>  $gamgok[CP t_i]$  soeng sik tinngojuk] feel.like this-CL toad want eat swan.meat '(I) feel like that (s/he) is aiming at the moon.' Lit.: '(I) feel like that this toad wants to eat swan meat.'
  - Non-idioms with synonyms:
  - (5) #ni-zek gaapgwaai;  $gamgok[CP t_i]$  soeng sik tinngojuk] this-CL toad feel.like want eat swan.meat '(I) feel like that this toad wants to eat swan meat.'
- A-movement creates binding possibilities
- keoi; caandei ge m-tung] (8) a. \*[on tengman ... accord it origin MOD difference hear.say
  - ... [CP mui-lap-zyunsek; dou jau m-tung gwongzaak] every-CL-diamond all have different luster 'According to its; origin, (I) heard, every piece of diamond; will have different lusters.'
  - b. mui-lap-zyunsek<sub>i</sub> [ on keoi<sub>i</sub> caandei ge m-tung] **tengman** ... every-CL-diamond accord it origin MOD difference hear.say  $...[CP t_i dou jau m-tung gwongzaak]$ all have different luster
    - 'Every piece of diamond<sub>i</sub>, according to its<sub>i</sub> origin, (I) heard, will have different lusters.'

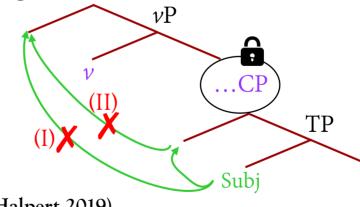
## 4. Phase unlocking

#### Theoretical challenges imposed by hyper-raising

(I) Phase theory/ PIC: Embedded subjects on Spec,TP are inaccessible to the higher phase

(II) Improper Movement:

Embedded subjects cannot move to matrix Spec, TP (A-poisiton) through Spec, CP (A'-position)



TP

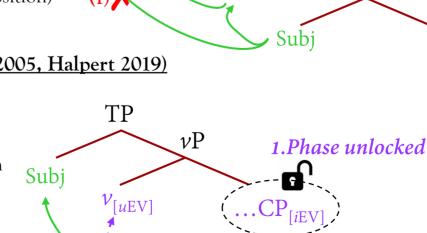
### Phase unlocking (Rackowski & Richards 2005, Halpert 2019)

- Relax (I) by agreeing with the phase
- Featural setup:
  - a. Two (null) C heads:  $C_{i;FV}$  vs. C CP<sub>[iEV]</sub> denotes evidence-based proposition b. RAVs have [uEV], but not NRAVs
- Step 1:

An RAV c-selects and agrees with a CP phase with [iEV], unlocking the phase

Step 2:

The embedded subject is accessible to matrix TP now, and raises to its Spec to satisfy T's [EPP]



2.Raising

# 5. Alternative analyses

### Relax (I) by Exfoliation (Pesetsky 2019)

- PIC is voided by removing phase head C
- Problem: Hyper-raising with overt C heads in (2)

### Relax (II) by assuming C<sub>[A]</sub> (Fong 2019)

- C carries A-features, rendering Proper Movement
- Problem: Subjects do not stop at embedded Spec, CP \* [ ... RAV [Subj [C ... ]]] in Cantonese & Vietnamese

## 6. Conclusion

#### The role of lexical semantics in raising

- HR as syntactic reflex of indirect evidence in C&V
- Raising possibilities among verbs are not entirely idiosyncratic (contra. Polinsky 2013)

### Phase unlocking by an Agree relation

• Explains the selectivity of PIC

Agree

TP